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No. EV669634049US

CF/008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Stuart A. Fraser et al.  
Application No. : 09/670,900  
Confirmation No. : 7800  
Filed : September 28, 2000  
For : SYSTEMS AND METHODS FOR TRANSFERRING  
ITEMS WITH RESTRICTED TRANSFERABILITY  
Group Art Unit : 3625  
Examiner : James Zurita

New York, New York 10020  
October 26, 2005

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT  
AND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants mailed an Information Disclosure Statement ("IDS") and copies of thirteen (13) cited references to the United States Patent and Trademark Office (USPTO) on November 19, 2001 in connection with the above-identified patent application. Applicants subsequently mailed a Supplemental IDS ("SIDS") and copies of eight (8)

cited references to the USPTO on August 21, 2003 in connection with the above-identified patent application.

Pursuant to a telephone call on October 19, 2005, the Examiner informed the undersigned that the USPTO records showed that the IDS and SIDS papers were received by the USPTO, but that the following references were not received:

(1) European Patent No. 0 987 644 cited in the IDS;  
and

(2) All eight (8) references cited in the SIDS.

Enclosed are copies of the following:

The IDS:

(1) A Transmittal Letter For Information Disclosure Statement (in duplicate), an Information Disclosure Statement, and a Form PTO-1449 (in duplicate) (Tab 1).

(2) A return postcard indicating that the IDS, including copies of the thirteen (13) cited references, was received by the OIPE of the USPTO on November 20, 2001 (Tab 2).

(3) An initialled Form PTO-1449, mailed with the August 21, 2003 Office Action, indicating that the twelve (12) cited U.S. Patent Documents had been considered and initialed by the Examiner, and that the one (1) cited Foreign

Patent Document had not been considered and initialed by the Examiner (Tab 3).

(4) The Foreign Patent Document cited in the IDS: European Patent No. 0 987 644 (Tab 4).

The SIDS:

(1) A Transmittal Letter for Supplemental Information Disclosure Statement (in duplicate), a Supplemental Information Disclosure Statement, and a Form PTO-1449 (in duplicate) (Tab 5).

(2) A return postcard indicating that the SIDS, including copies of the eight (8) cited references, was received by the OIPE of the USPTO on August 25, 2003 (Tab 6).

(3) The Non-Patent Document cited in the SIDS: Fujimura, Ko et al., "General-purpose Digital Ticket Framework," Proceedings of the 3<sup>rd</sup> USENIX Workshop on Electronic Commerce, Boston, Massachusetts, August 31-September 3, 1998 (Tab 7).<sup>1</sup>

It is respectfully requested that one (1) Foreign Patent Document cited in the IDS, and the seven (7) U.S.

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<sup>1</sup> Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), copies of the seven (7) U.S. Patent Documents cited in the SIDS are not enclosed.

Patent Documents and one (1) Non-Patent Document cited in the SIDS be considered and copies of the two Form PTO-1449s, as considered and initialed by the Examiner, be returned with the next communication.

Although applicants believe that no fee is due for consideration of the resubmitted documents, the Director is hereby authorized to charge any fee that may be due, or credit any overpayment, in connection with this Resubmission, to Deposit Account No. 06-1075, Order No. 000718-0008. A duplicate copy of this Resubmission is enclosed herewith.

Respectfully submitted,

Evelyn C. Mak

Evelyn C. Mak  
Registration No. 50,492  
Attorney for Applicants

FISH & NEAVE IP GROUP  
ROPES & GRAY LLP  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
(212) 596-9000

10-28-05

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PATENTS  
CF/008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Stuart A. Fraser et al.  
Application No. : 09/670,900  
Confirmation : 7800  
Filed : September 28, 2000  
For : SYSTEMS AND METHODS FOR TRANSFERRING  
ITEMS WITH RESTRICTED TRANSFERABILITY  
Group Art Unit : 3625  
Examiner : James Zurita

EXPRESS MAIL CERTIFICATION

EXPRESS MAIL LABEL NO. EV669634049US

Date of Deposit: October 26, 2005.

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

  
Isatta B. Smith

Enclosures:

1. Resubmission Of Information Disclosure Statement And Supplemental Information Disclosure Statement (4 pp. - in duplicate);
2. Copies of Information Disclosure Statement and related papers mailed on 11/19/01 (Tab 1);

3. Copy of return postcard stamped 11/20/01 (Tab 2);
4. Copy of initialed Form PTO-1449 (Tab 3);
5. Copy of EP 0 987 644 (Tab 4);
6. Copies of Supplemental Information Disclosure Statement and related papers mailed on 8/21/03 (Tab 5);
7. Copy of return postcard stamped 8/25/03 (Tab 6);
8. Copy of non-patent document (Tab 7); and
9. Return Postcard.



CF-008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Stuart A. Fraser et al.  
Application No. : 09/670,900 Confirmation No.: 7800  
Filed : September 28, 2000  
For : SYSTEMS AND METHODS FOR TRANSFERRING  
ITEMS WITH RESTRICTED TRANSFERABILITY  
Group Art Unit : 2161  
Examiner : Not yet assigned

New York, New York  
November 19, 2001

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

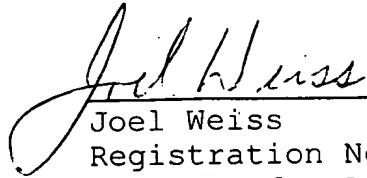
Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

- ☐ [ ] within three months of the application filing date;
- ☒ [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

A handwritten signature in cursive script, reading "Joel Weiss", is written over a horizontal line.

Joel Weiss  
Registration No. 44,398  
Attorney for Applicants  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000





CF/008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stuart A. Fraser et al.  
Application No. : 09/670,900  
Confirmation No. : 7800  
Filed : September 28, 2000  
For : SYSTEMS AND METHODS FOR  
TRANSFERRING ITEMS WITH  
RESTRICTED TRANSFERABILITY  
Group Art Unit : 2161

New York, New York 10020  
August 21, 2003

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental  
Information Disclosure Statement in the above-identified  
application. This Statement is submitted:

- ☐ within three months of the application  
filing date;
- ☒ more than three months from the  
application filing date but before the  
mailing date of the first Office Action on  
the merits.

In accordance with 37 C.F.R. § 1.97, submission  
of this Statement requires no fee. However, if for any

reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Evelyn C. Mak

Evelyn C. Mak  
Registration No. 50,492  
Agent for Applicants

FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
(212) 596-9000

I hereby certify that this  
Correspondence is being  
deposited with the U.S.  
Postal Service as First  
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Addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450 on

August 11, 2003

Lillian Garcia

Lillian Garcia  
Signature of Person Signing



CF-008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Stuart A. Fraser et al.  
Application No. — : 09/670,900 Confirmation No.: 7800  
Filed : September 28, 2000  
For : SYSTEMS AND METHODS FOR TRANSFERRING  
ITEMS WITH RESTRICTED TRANSFERABILITY  
Group Art Unit : 2161  
Examiner : Not yet assigned

New York, New York  
November 19, 2001

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. PATENTS

Walker et al.	6,240,396 B1	(05/29/01)
Tozzoli et al.	6,151,588	(11/21/00)
Walker et al.	6,134,534	(10/17/00)
Ausubel	5,905,975	(05/18/99)
Fraser et al.	5,905,974	(05/18/99)
Ferstenberg et al.	5,873,071	(02/16/99)
Fisher et al.	5,835,896	(11/10/98)
Brown	5,794,219	(08/11/98)
Tozzoli et al.	5,717,989	(02/10/98)
Doggett et al.	5,677,955	(10/14/97)

Miller et al.	5,640,569	(06/17/97)
Silverman et al.	5,136,501	(08/04/92)

FOREIGN PATENTS

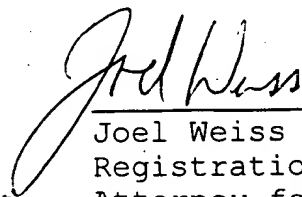
EPO	0 987 644 A2	(03/22/00)
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Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Joel Weiss", is written over a horizontal line.

Joel Weiss  
Registration No. 44,398  
Attorney for Applicants  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

GROUP  
2161

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Docket No. CF-008

Applicant Quart A. Fraser et al.

Serial No. 09/670,900 Filed September 28, 2000

Receipt is hereby acknowledged of the

Transmittal Letter (in duplicate);

Information Disclosure Statement;

Form PTO-1449 (in duplicate); and

Copies of Thirteen Cited Documents.

Received  
NOV 20 2001  
Technology Center 2100

Dated November 19, 2001

JW

Filed in connection with the above case.

00718.008

COMMISSIONER OF PATENTS  
AND TRADEMARKS

M. 2100  
C. Park 2/4

CF/008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stuart A. Fraser et al.  
Application No. : 09/670,900  
Confirmation No. : 7800  
Filed : September 28, 2000  
For : SYSTEMS AND METHODS FOR  
TRANSFERRING ITEMS WITH RESTRICTED  
TRANSFERABILITY  
Group Art Unit : 2161

New York, New York 10020  
August 21, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97,  
applicants hereby make the following documents of record  
in the above-identified patent application:

U.S. PATENT DOCUMENTS

5,710,887	Chelliah et al.	January 20, 1998
5,850,442	Muftic	December 15, 1998
6,012,045	Barzilai et al.	January 4, 2000
6,460,020 B1	Pool et al.	October 1, 2002
6,490,567 B1	Gregory	December 3, 2002
6,571,334 B1	Feldbau et al.	May 27, 2003
6,574,608 B1	Dahod et al.	June 3, 2003



#### OTHER DOCUMENTS

Fujimura, Ko et al., "General-purpose Digital Ticket Framework," Proceedings of the 3rd USENIX Workshop on Electronic Commerce, Boston, Massachusetts, August 31-September 3, 1998.\*

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

The document marked with an asterisk (\*) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, this reference was cited in a July 17, 2003 Examiner's First Report on applicants' corresponding Australian Patent Application No. 78369/00.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to  
this patent application is respectfully requested.

Respectfully submitted,

Evelyn C. Mak

Evelyn C. Mak  
Registration No. 50,492  
Agent for Applicants

FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
(212) 596-9000

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Addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450 on

August 21, 2003  
Lillian Garcia

Lillian Garcia  
Signature of Person Signing

U.S. PATENT DOCUMENTSFOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

DOCKET NO.

CF/008

CONFIRMATION NO.

7800

APPLICANTS Stuart A. Fraser et al.

APPLICATION NO. 09/670,900 FILED September 28, 2000

RECEIPT IS HEREBY ACKNOWLEDGED OF THE

Transmittal Letter for Supplemental Information Disclosure Statement (2 pp. - in duplicate); Supplemental Information Disclosure Statement (3 pp.); Form PTO-1449 (1 p. - in duplicate); and copies of the eight (8) cited references.

DATED August 21, 2003

FILED IN CONNECTION WITH THE ABOVE CASE

COMMISSIONER FOR PATENTS

ECM: 00718:008

